

Receipt

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: GOODROE, et al.

Serial No.: 09/736,138

Filing Date: December 13, 2000

For: **CLINICAL OPERATIONAL AND  
GAINSHARING INFORMATION  
MANAGEMENT SYSTEM**

§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Examiner: Unassigned

Group Art Unit: 2166

Atty. Ref: GOOD1

RECEIVED

AUG 13 2001

Technology Center 2100

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 4, 2001

Wm. Brook Lafferty, Reg. No. 39,259

Signature

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Attn: Corrected Filing Receipts Division  
Washington, DC 20231

Honorable Commissioner:

Upon review of the Updated Filing Receipt received from the United States Patent and Trademark Office for the above-identified patent application, Applicants, through their attorneys and agents, have discovered an error in the filing date. The correct filing date is **December 12, 2000** NOT March 12, 2001.

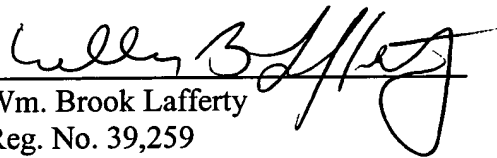
Serial No. 09/736,138  
In re Application of: GOODROE, et al.

Copies of Express Mail Label No. EL235894463US, the return receipt postcard, and the original Filing Receipt, mailed February 2, 2001, are herewith enclosed for your reference. Also enclosed is copy of the Updated Filing Receipt, mailed May 24, 2001.

Applicants courteously request that the above-described correction to the Filing Receipt be made. Applicants believe that the correction is not due to any error on their part and, therefore, no fee is believed to be due. However, in the event that moneys are due, please charge Deposit Account No. 20-1507.

Respectfully submitted,

TROUTMAN SANDERS LLP

By:   
Wm. Brook Lafferty  
Reg. No. 39,259

Attorney for Applicant

TROUTMAN SANDERS LLP  
600 Peachtree St., NE  
Suite 5200  
Atlanta, GA 30308-2216  
(404) 885-3470



235894463

**EXPRESS MAIL**  
UNITED STATES POSTAL SERVICE™**POST OFFICE TO ADDRESSEE**

<b>POSTAL USE ONLY</b>	
Permitted to Post	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second
Postage \$ 15.75	Flat Rate Envelope <input type="checkbox"/>
Return Receipt Fee	Postage \$ 15.75
Int'l Alpha Country Code	COD Fee
Acceptance Clerk Initials	Insurance Fee
Total Postage & Fees \$ 15.75	

SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE**RECEIVED**

AUG 13 2001

Customer Copy  
Label 11-F July 1997**CUSTOMER USE ONLY**  
OF PAYMENT:Agency Acct. No. or  
Mail Corporate Acct. No.Agency Acct. No. or  
Service Acct. No.

NAME: (PLEASE PRINT)

PHONE ( )

TO: (PLEASE PRINT)

PHONE ( )

KOUTMAN SANDERS LLP  
100 PEACHTREE ST NE STE 5200  
ATLANTA GA 30308-2218

BOX PATENT APPLICATION

ASSISTANT COMMISSIONER FOR  
PATENTS

WASHINGTON, D.C. 20231

1. BROOK LAFFERTY, ESQ.

# : GOOD1 (11652:107070)

**HARD**

3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

TS Mail Room: Return to Docket Clerk 46

Pat./Ser. No.: \_\_\_\_\_

TS No.: Good1 (11652.107070)Atty/Sec: wbl/jlnInventor: Goodroe et al.Client: Goodroe HealthCareDate: December 13, 2000Title: CLINICAL OPERATIONAL AND GAINSHARING INFORMATION MANAGEMENT SYSTEM

The following has been received in the U.S. Patent and Trademark Office on the date stamped here on:

- ☒ New Application Transmittal Form
- ☒ Specification 41 pp 23 Claims
- ☐ Executed Declaration/Power of Attorney
- ☒ Unexecuted Declaration/Power of Attorney
- ☐ Priority Document
- ☐ Informal Drawings \_\_\_\_\_ sheets
- ☐ Formal Drawings \_\_\_\_\_ sheets
- ☐ Continuation Application
- ☐ Information Disclosure Statement
- ☐ Form PTO 1449
- ☐ Prior Art
- ☐ Preliminary Amendment
- ☐ Assignment

- ☐ Petition for Extension of Time \_\_\_\_\_ months
- ☐ Response to Notice of Missing Parts
- ☐ Amendment: OA dated \_\_\_\_\_
- ☐ Supplemental Amendment
- ☐ Status Inquiry
- ☐ Notice of Appeal and Fee
- ☐ Issue Fee \_\_\_\_\_ Advance Patent Copies
- ☐ Letter \_\_\_\_\_
- ☐ Check # \_\_\_\_\_ for \$ \_\_\_\_\_
- ☐ Fee Transmittal Form
- ☒ Express Mail Label No. EL235894463US

**DOCKETING**

JAN 02 2001

0658743.01





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/736,138	12/13/2000	2166	0.00	GOOD1	5	23	4

CONFIRMATION NO. 8196

## FILING RECEIPT



\*OC00000005730905\*

Wm. Brook Lafferty, Esq.  
Troutman Sanders LLP  
600 Peachtree Street, NE, Suite 5200  
Atlanta, GA 30308

Date Mailed: 02/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Joane Goodroe, Norcross, GA;  
Douglas A. Murphy, Residence Not Provided;

RECEIVED

AUG 13 2001

Technology Center 2100

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/02/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Clinical operational and gainsharing information management system

Preliminary Class

705

DOCKETING

FEB 12 2001

---

Data entry by : WODAJE, ELENI

Team : OIPE

Date: 02/02/2001

21

01/01/01

01/01/01

01/01/01

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/736,138	03/12/2001	2166	487	GOOD1 (11652.107070)	5	23	4

CONFIRMATION NO. 8196

## UPDATED FILING RECEIPT



\*OC000000006113906\*

Wm, Brook Lafferty, Esq.  
Troutman Sanders LLP  
Suite 5200  
600 Peachtree Street, NE  
Atlanta, GA 30308-2216

Date Mailed: 05/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Joane Goodroe, Norcross, GA;  
Douglas A. Murphy, Atlanta, GA;

**Domestic Priority data as claimed by applicant****Foreign Applications****If Required, Foreign Filing License Granted 02/02/2001****Projected Publication Date: 09/12/2002****Non-Publication Request: No****Early Publication Request: No****\*\* SMALL ENTITY \*\*****Title**

Clinical operational and gainsharing information management system



**Preliminary Class**

705

---

**Data entry by : KIM, JONATHAN**

**Team : OIPE**

**Date: 05/24/2001**

11

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

•  
•  
•  
•

•  
•  
•  
•

CONFIDENTIAL

**Troutman Sanders LLP**

ATTORNEYS AT LAW

*A Limited Liability Partnership*

BANK OF AMERICA PLAZA

600 PEACHTREE STREET, N.E. - SUITE 5200

ATLANTA, GEORGIA 30308-2216

www.troutmansanders.com

FACSIMILE: 404-885-3900

**FACSIMILE TRANSMITTAL****SENDER'S DIRECT DIAL NO.**

404-885-3562

**SENDER'S DIRECT FACSIMILE NO.**

404-962-6900

**FLOOR**

46

**Date:** November 2, 2001**To:** Janice Burse**Firm:** USPTO - Filing Receipts  
1202**Recipient #:** 703 308**Fax #:** 703 308 7751**From:** Minikía Blair, Paralegal *msb***Subject:** U.S. Patent Application Serial No. 09/736,138

Number of pages including this cover sheet = 3  
If all pages are not received, please call 404-885-3562

Original Will Not Be Sent

\*\*\* **COMMENTS** \*\*\*

**Ms. Burse: Further to our conversation of today, following is copy of Express Mail Label No. EL235894463US which was used for the filing of this application. Also enclosed is copy of the return receipt postcard and first filing receipt. Again, we are looking to have the filing date corrected to December 13, 2000. Thank you for your help.**

The information which follows and is transmitted herewith is attorney/client privileged, trade secret and/or confidential information intended only for the viewing and use of the individual recipient named above. If the reader of this message is not the intended recipient, you are hereby notified that any review, use, communication, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone and return the original message to us at the above address via the U. S. Postal Service or Overnight Delivery Service at our expense. Thank you.

TS Room: Return to Docket Clerk 46

Pat/Ser. No.: \_\_\_\_\_ TS No.: Good1 (11652.107070) Any/Sec: wh/lin  
 Inventor: Goodroe et al. Client: Goodroe HealthCare Date: December 13, 2000  
 Title: CLINICAL OPERATIONAL AND GAINSHARING INFORMATION MANAGEMENT SYSTEM

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

☒ New Application Transmittal Form  
☒ Specification 41 pp 23 Claims  
☒ Executed Declaration/Power of Attorney  
☒ Unexecuted Declaration/Power of Attorney  
☐ Priority Document  
☐ Informal Drawings \_\_\_\_\_ sheets  
☐ Formal Drawings \_\_\_\_\_ sheets  
☐ Continuation Application  
☐ Information Disclosure Statement  
☐ Form PTO 1449  
☐ Prior Art  
☐ Preliminary Amendment  
☐ Assignment

☐ Petition for Extension of Time \_\_\_\_\_ months  
☐ Response to Notice of Missing Parts  
☐ Amendment: OA dated \_\_\_\_\_  
☐ Supplemental Amendment  
☐ Status Inquiry  
☐ Notice of Appeal and Fee  
☐ Issue Fee \_\_\_\_\_ Advance Patent Copies  
☐ Letter \_\_\_\_\_  
☐ Check # \_\_\_\_\_ for \$ \_\_\_\_\_  
☐ Fee Transmittal Form  
☒ Express Mail Label No. EL235894463US

DOCKETING

JAN 02 2001

0658743.01

EL235894463US



POST OFFICE TO ADDRESSEE

SEE REVERSE SIDE FOR  
 SERVICE GUARANTEE AND LIMITS  
 ON INSURANCE COVERAGE

ORIGIN (POSTAL USE ONLY)			
Postage Code <u>15002</u>	Day of Delivery <input checked="" type="checkbox"/> First <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>	
Date In <u>12/13/00</u>	<input type="checkbox"/> Noon <input type="checkbox"/> 3 PM	Postage \$ <u>15.75</u>	
Time <u>4:30 PM</u>	<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day	Return Receipt Fee \$ _____	
Weight <u>4.5</u> lbs	Int'l Alpha Country Code	COD Fee	Insurance Fee
NO Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials <u>RSA</u>	Total Postage & Fees \$ <u>15.75</u>	

CUSTOMER USE ONLY	
METHOD OF PAYMENT _____ <small>Example: Mail Card No. 123456789</small>	
<input type="checkbox"/> WAIVER OF Signature (Domestic Only). Additional endorsement insurance is void if waiver of signature is requested. <input type="checkbox"/> Signature required. Signature of addressee or addressee's agent (if delivery employee judges signature and postal employee location) and I guarantee that delivery employee's signature constitutes valid proof of delivery.	
FROM: (PLEASE PRINT) TROUTMAN SANDERS LLP 300 PEACHTREE ST NE STE 5200 ATLANTA GA 30308-2216 MR. BROOK LAFPERTY, ESQ. CUY: GOOD1 (11652.107070)	TO: (PLEASE PRINT) BOX PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20530

PRESS HARD

You are making a copy.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



RECEIVED

NOV 09 2001

Technology Center 2100

Customer Copy



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/736,138	12/13/2000	2166	0.00	GOOD1	5	23	4

CONFIRMATION NO. 8196

## FILING RECEIPT



\*OC00000005730905\*

Wm Brook Lafferty, Esq.  
Troutman Sanders LLP  
600 Peachtree Street, NE, Suite 5200  
Atlanta, GA 30308

Date Mailed: 02/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Joane Goodroe, Norcross, GA;  
Douglas A. Murphy, Residence Not Provided;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/02/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Clinical operational and gainsharing information management system

Preliminary Class

705

DOCKETING

FEB 12 2001